

DOCTOR OF PHILOSOPHY BY PUBLISHED WORKS POLICY

Author (and Department): Wayne Morris, Research and Innovation Office

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1. Purpose

1.1 The University offers a Doctor of Philosophy (PhD) by Published Works.

1.2 University policies relating to Postgraduate research apply except for the sections below.

2. Admissions

2.1 PhD by Published Works is not available to applicants who already have a doctoral award.

2.2 Applicants must meet the normal entrance requirements for admission to postgraduate research degree programmes.

2.3 Applicants must be a member of University staff on a minimum 0.2 FTE permanent contract who have successfully completed their probation. Visiting Lecturers and staff from partner organisations are not eligible.

2.4 Applicants must provide:

- a completed PhD application form;
- a CV, copies of relevant certificates and evidence of meeting the eligibility criteria;
- a 1-page statement discussing the scholarship of the publications and explaining how they have made an original contribution to knowledge;
- a list of the publications published during the 5 years prior to the proposed registration date) that can be included in the final submission and an example publication;
- evidence of the peer review process undertaken for the publications (where available);
- a summary of their research skills, including how they have been developed, and identifying any gaps and explaining how they will develop these skills to a suitable level of competence;
- the application fee.

2.5 Applicants will only be accepted if there is sufficient supervisory capacity in the University.

3. Registration

3.1 The following time limits apply:

<i>Programme</i>	<i>Mode of attendance</i>	<i>Minimum period of registration</i>	<i>Maximum submission period</i>	<i>Maximum registration period</i>
PhD by Published Works	Part time	3 months	1 year	1 year

4. Appointment of a Supervisor

4.1 As the research will already have been published, a candidate has one Supervisor, not a full supervisory team.

4.2 The Supervisor assists the candidate in selecting publications to be included in the submission and refining the Critical Review.

4.3 The Supervisor is responsible for nominating Examiners.

4.4 The Supervisor is normally drawn from the list of Principal Supervisors and normally has some experience or knowledge of this form of submission.

5. Induction and Training

5.1 Candidates are offered an individual induction.

6. Publications

6.1 Publications can include creative works such as artistic and other exhibitions.

6.1 The Candidate must show:

- that the work presented represents original research output;
- that the work presented is an original contribution to knowledge;
- that there is a sufficient volume of output within the 5-year window to form the basis of a submission;
- that the work is of sufficient depth, significance and coherence to have a reasonable prospect of a successful outcome.

Publications must be scholarly in nature and published in high quality research journals.

6.2 All publications submitted for examination must have been published or, exceptionally, accepted for publication within 5 years prior to the date of application or during the Candidate's registration period.

6.3 The submission must include:

- A minimum of 6 peer-reviewed journal articles or book chapters (a mixture of articles and chapters is permitted);
- a minimum of one peer-reviewed academic monograph or;
- appropriate creative works which demonstrate an original response to a research question

AND

A Critical Review of 10,000-20,000 words which discusses and analyses how the publications or creative works have made an original contribution to research.

The word count of the Critical Review and the submitted publications must normally be equivalent to that of a PhD as stated in the [Principles and Regulations](#).

6.4 If jointly authored work is submitted, it must be accompanied by a statement (confirmed by the co-author(s) unless this is not possible) indicating the extent of the Candidate's original contribution to the work. The Candidate must indicate if the co-authors have applied for PhD by Published Works.

7. Examination and Outcomes

7.1 The [Appointment of Examiners and Conduct of a Viva policy](#) applies to the appointment of Examiners.

7.2 The Examiners must establish whether the material submitted for examination justifies the award of PhD. However, there are limitations on the extent to which the Examiners can request amendments to the work presented since the publications cannot be modified. Consequently, the outcomes available to Examiners are pass, fail, or to give the Candidate three months to make amendments to the Critical Review. If Examiners believe that the publications submitted are insufficient in breadth, depth or significance, the submission fails.

7.3 An unsuccessful Candidate cannot make a second application for a PhD by Published Works but can register for the MPhil/PhD programme.